

<b>1.1</b>	<b>Governance</b>	<b>IMOS Funding Agreements</b>
Responsible Officer		Project Manager
Approved by		Director
Approved and commenced		September 2012, updated November 2015
Review by		December 2016

### **1. Objective**

UTAS will not enter into any new or amendments to the IMOS Funding Agreements without first seeking:

- Endorsement of the changes by the IMOS Governing Board
- Acceptance by those Operator(s) who will be directly affected by any changes

IMOS is a national collaborative project headed by UTAS which enters into the agreements with the Commonwealth. Whilst it is intended that in most cases any amendments to the IMOS Funding Agreements will follow National consensus that the changes are warranted, it is acknowledged that may not always be possible.

### **2. Scope**

This policy applies to IMOS Governing Board, Director and staff of the IMOS Office, Operator representatives. Excluded from this policy are any changes which UTAS deems need to be made, but was unable to secure endorsement of the Board or the relevant Operator(s). In such cases, UTAS will advise the Commonwealth, with a copy to the relevant parties, of the details of any discussions had as background to the amendment request.

### **3. Policy Provisions**

The intent of this Policy is to ensure each party affected by any change will at a minimum be advised that the change has been made.

**UTAS Role:** As the signatory to the agreement, UTAS will need to be able to amend contract conditions in the case where agreement on the change cannot be reached, if in the opinion of UTAS, the change must be made to cover its own risk assessment. In all other cases, UTAS will only enter into variations once these are agreed by the IMOS Office, having as appropriate sought input from the IMOS Governing Board, Operators and /or the marine and climate community.

**IMOS Governing Board Role:** The Board will approve all major changes to Plans and Reports, prior to these being submitted to the Commonwealth, and which then form part of the IMOS Funding Agreement. Normally this will be done via resolution at scheduled meetings held in March (to approve Plans) and September (to approve Reports). In addition, for any major new NCRIS funding advised, the Board will meet to provide strategic guidance, and recommend Plans ahead of UTAS formally entering into agreements with the Commonwealth.

**IMOS Office Role:** The Office acts as intermediary between UTAS and the Board in ensuring that both are fully informed of the requirements of their respective roles in oversight and

implementation of IMOS. The IMOS Office will also work with UTAS to enter into and vary Operator sub-contracts, acting on recommendation of the Board. The IMOS Office also liaises with the Commonwealth and the marine and climate community to ensure that the optimum information is included in Plans and Reports to maximize the benefits of IMOS to all stakeholders.

#### **4. Definitions and Acronyms**

- *Commonwealth* means The Commonwealth of Australia as represented by the relevant Department charged with oversight of the NCRIS program
- *IMOS* means the Integrated Marine Observing System
- *IMOS Funding Agreements* means any of the agreements entered into between UTAS and the Commonwealth for the purposes of providing NCRIS funding for IMOS.
- *IMOS UJV Participants Agreement* means the agreement negotiated with the key IMOS parties in late 2015 to oversee the national management of IMOS
- *NCRIS* means National Collaborative Research Infrastructure Strategy (and like programs)
- *Operators* are individual institutions (legal entities) which UTAS has entered into sub-contracts, under which the Operators will own and operate designated equipment for the purposes of IMOS or provide services under IMOS. Under the IMOS Funding Agreements, the approved Operators are AIMS, Bureau of Meteorology, CSIRO, Curtin University, Geoscience Australia, James Cook University, SARDI, Sydney Institute of Marine Science and University of Western Australia.
- *UTAS* means The University of Tasmania

#### **5. Supporting Documentation**

IMOS UJV Participants Agreement  
IMOS Funding Agreements, and variations  
Operator Subcontracts and variations

#### **6. Versioning**

January 2012 – draft for review by IMOS Director  
September 2012 – Approved by Advisory Board  
November 2015 – minor revisions for approval by the IMOS Director